TENT COOPERATION TREASON

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20 July 2000 (20.07.00)	in its capacity as elected Office
International application No.	Applicant's or agent's file reference
PCT/GB99/03635	N75394B JCI
International filing date (day/month/year) 03 November 1999 (03.11.99)	Priority date (day/month/year) 04 November 1998 (04.11.98)
	04 November 1996 (04.11.96)
Applicant	
LALVANI, Ajit et al	
The designated Office is hereby notified of its election ma	do
1. The designated Office is fieleby notified of its election ma	ue.
X in the demand filed with the International Prelimina	ry Examining Authority on:
 05 June 2000	(05.06.00)
	<u> </u>
in a notice effecting later election filed with the Inter	rnational Bureau on:
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2. The election X was	
was not	1 . 1
made before the expiration of 19 months from the priority Rule 32.2(b).	date or, where Rule 32 applies, within the time limit under
nuie 32.2(b).	
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34, chemin des Colombettes

1211 Geneva 20, Switzerland

Carlos Naranjo

Telephone No.: (41-22) 338.83.38

LATENT COOPERATION TREALY

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NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 27 April 2000 (27.04.00)	IRVINE, Jonquil, Claire J. A. Kemp & Co. 14 South Square Gray's Inn London WC1R 5LX ROYAUME-UNI				
Applicant's or agent's file reference					
N75394B JCI		IMPOR	TANT NOTI	FICATION	
International application No. PCT/GB99/03635	1	-	(day/month/ye 999 (03.11.5	•	
The following indications appeared on record concerning: The applicant the inventor	the agent		the commo	on representative	
Name and Address ISIS INNOVATION LIMITED 2 South Parks Road	-	State of Nat		State of Residence	
Oxford OX1 3UB United Kingdom		Facsimile No.			
		Teleprinter	No.		
2. The International Bureau hereby notifies the applicant that the the person the name X the add		hange has b	_	concerning:	
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3. Further observations, if necessary:					
4. A copy of this notification has been sent to:					
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The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized o	S	. Cruz		
Facsimile No.: (41-22) 740.14.35	Telephone N	lo.: (41-22) 3	38.83.38		

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. The following documents are cited:
 - D1: WO 98 23960 A
 - D2: BRANDT, L. ET AL.: J. IMMUNOL., vol. 1996, no. 157, 1996, pages 3527-3533
 - D3: HARBOE, M. ET AL.: INFECT. IMMUN., vol. 66, no. 2, February 1998, pages 717-723
 - D4: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 90
 - D5: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 108
 - D6: ULRICHS, T. ET AL.: EUR. J. IMMUNOL., vol. 28, no. 12, December 1998, pages 3949-3958
 - D7: ELHAY, M.J. ET AL.: INFECT. IMMUN., vol. 66, no. 7, July 1998, pages 3454-3456
- The current assessment is based on the assumption that all claims enjoy priority rights from the filing date of the priority document. If it later turns out that this is not correct, the documents D4, D5 and D6 cited in the international search report could become relevant.
- 3. For the purpose of the present report, the unclear claim 6 has been interpreted as referring to an analogue which can bind a T cell receptor which recognizes the equivalent (or corresponding) substituted peptide, see page 9, lines 3-5 of the description and present claims 7-9.
- 4. The present application is based on the surprising finding that the peptide "ES1" represented by SEQ ID NO:1 and corresponding to amino acids 1-15 of the ESAT-6 protein of Mycobacterium tuberculosis is suitable to detect nearly 60% of human TB patients. This finding could not be expected from any of the relevant prior art documents D1, D2, D3 and D7.

Example 3 of D1 identified T-cells im M. tuberculosis patients reactive with the peptides ES12 (amino acids 69-76) and ES13 (amino acids 82-90), but not with the peptide ES8 (amino acids 10-18).

D2 discloses that the peptide ES1 contains a T-cell epitope recognized by T-cells taken from M. tuberculosis-infected mice. When faced with the problem of providing peptides suitable for detection of infection in humans, a person skilled in the art would not have extrapolated the data of D2 obtained from mice to the diagnosis of humans, because it is known that mice have different MHC molecules than humans and are thus expected to recognize different epitopes. This is also apparent from the finding that the peptide ES2 was not identified as an epitope-containing fragment of the ESAT-6 protein by the mouse studies of D2, while the present application found this peptide to detect 40% of TB patients. Furthermore, there are no indications or suggestions in D2 to use the disclosed peptides in diagnosis.

Therefore, novelty and inventive step of the claimed subject-matter is acknowledged.

Re Item VIII

Certain observations on the international application

5. Claims 6-9 and 24 relating to analogues are formulated as dependent claims, although these claims are broader in scope than the claims on which they (formally) depend. These claims are therefore unclear and confusing, contrary to Article 6 PCT.

Claim 19 is somewhat unclear since it is drafted in the second/further medical use format although it does not actually refer to a medical or diagnostic application.

CLAIMS:

- 1. A method of determining infection in a human by, or exposure of a human to, a mycobacterium which expresses ESAT-6 comprising:
- (i) contacting a population of T cells from said human with the peptide represented by SEQ ID NO:1 and, optionally, one or more further peptides represented by SEQ. ID. NOs. 2 to 11 and
- (ii) determining in vitro whether the T cells of said T cell population recognise said peptide(s).
- 2. Use of the peptide represented by SEQ ID NO:1 and, optionally, one or more further peptides represented by SEQ. ID. NOs: 2 to 11, for the preparation of a means for use in determining in a human infection by, or exposure to, a mycobacterium which expresses ESAT-6, said method comprising determining whether T cells of said human recognise said peptide(s).
- 3. A method or use according to claim 1 or claim 2 wherein a peptide panel is employed consisting of, in addition to the peptide represented by SEQ. ID NO:1, one or more peptides selected from the peptides represented by SEQ. ID. NOs. 2 to 11.
- 4. A method or use according to claim 3 wherein at least the peptides represented by SEQ. ID. NOs. 1 to 8 are employed.
- 5. A method or use according to claim 4 wherein one or more further peptides are employed selected from the peptides represented by SEQ. ID. NOs. 9, 10 and 11.
- 6. A method or use according to any one of claims 1 to 5 wherein any of said peptides is substituted by an analogue which can bind a T cell receptor which recognises the peptide.
 - 7. A method or use as claimed in any one of claims 1 to 5 wherein any of said peptides is

substituted by a peptide analogue which is at least 70% homologous, preferably at least 80% homologous, more preferably at least 90% homologous, to the entire corresponding substituted peptide and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.

- 8. A method or use as claimed in claims 1 to 5 wherein any of said peptides is substituted by a peptide analogue which has one or more deletions at the N-terminus and/or C-terminus and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.
- 9. A method or use as claimed in any one of claims 1 to 5 and 8 wherein any of said peptides is substituted by a peptide analogue which has one or more conservative substitutions compared to the corresponding substituted peptide and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.
- 10. A method or use according to any one of the preceding claims in which the recognition of the peptide(s) by the T cells is determined by determining secretion of a cytokine from the T cells.
- 11. A method or use according to claim 10 in which IFN- γ secretion from the T cells is determined.
- 12. A method or use according to claim 11 in which IFN-γ secretion from the T cells is determined by allowing secreted IFN-γ to bind to an immobilised antibody specific to the cytokine and then determining the presence of antibody/cytokine complex.
- 13. A method or use according to any one of the preceding claims in which the T cells are freshly isolated ex vivo cells from peripheral blood.

- 14. A method or use according to any one of claims 1 to 12 in which the T cells are precultured in vitro with the peptide(s).
- 15. A method or use according to any one of the preceding claims in which the mycobacterium is M. tuberculosis or M. bovis.
- 16. A kit for carrying out a method or use according to any one of the preceding claims comprising a peptide panel as defined in any one of claims 3 to 5, or any one of claims 6 to 9 as dependent on claims 3 to 5, and optionally a means to detect the recognition of a peptide by the T cells.
 - 17. A kit according to claim 16 which includes an antibody to IFN-y.
- 18. A kit according to claim 17 wherein said antibody is immobilised on a solid support and which optionally also includes a means to detect any antibody/IFN-γ complex.
- 19. Use of one or more polynucleotides capable of expressing in human cells peptide or peptides in accordance with any one of claims 1 to 9 for the preparation of a means for use in determining in a human infection by, or exposure to, a mycobacterium which expresses ESAT-6, said method comprising determining whether T cells of said human recognise said peptide(s).
- 20. A kit for carrying out a use according to claim 19 comprising one or more polynucleotides capable of expressing in human cells a peptide panel as defined in any one of claims 3 to 5, or claims 6 to 9 as dependent on claims 3 to 5.
- 21. A pharmaceutical composition comprising a peptide panel as defined in any one of claims 3 to 5, or claims 6 to 9 as dependent on claims 3 to 5, or one or more polynucleotides capable of expressing the peptides of said panel in human cells together with a pharmaceutically acceptable carrier or diluent.
 - 22. A method of diagnosing infection in a human by, or exposure of a human to, a

mycobacterium which expresses ESAT-6 comprising:

- (i) contacting a population of T cells from said human with a panel of peptides represented by SEQ. ID. Nos. 1 to 8, wherein said T cells are freshly isolated ex vivo cells from peripheral blood, and
- (ii) determining in vitro whether T cells of said T cell population show a recognition response to said peptides by determining IFN-γ secretion from the T cells.
- 23. A method as claimed in claim 22 wherein said panel is expanded to additionally include one or more further peptides selected from the peptides of SEQ. ID. NOs. 9 to 11.
- 24. A method as claimed in claim 22 or claim 23 wherein one or more of said peptides is substituted by an analogue as defined in any one of claims 6 to 9.
- 25. A method or use as claimed in any one of claims 3 to 9 and 22 to 24 wherein said peptides are pooled.
- 26. A method as claimed in any one of claims 1 to 9 and 22 to 25 wherein presence of a mycobacterium which expresses ESAT-6 is determined in a suspected healthy contact who has been exposed to said mycobacterium.

Application No PCT/68 99/03635 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C07K14/35 C07K7/08 G01N33/68 C12Q1/68C07K16/12 A61K31/70 GO1N33/53 A61K38/10 G01N33/569 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) $IPC \ 7 \quad C07K \quad C12Q \quad A61K \quad G01N$ Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages 1-22 WO 98 23960 A (ISIS INNOVATION ; LALVANI X AJIT (GB); BROOKES ROGER HAMILTON (GB)) 4 June 1998 (1998-06-04) cited in the application page 12 -page 13 1-22 BRANDT, L. ET AL.: "Key Epitopes on the X ESAT-6 Antigen Recognized in Mice During the Recall of Protective Immunity to Mycobacterium tuberculosis." J. IMMUNOL., vol. 1996, no. 157, 1996, pages 3527-3533, XP002134895 page 3528, column 2, paragraph 3 table 1 -/--Patent family members are listed in annex.

Further documents are tisted in the continuation of box C.	Patent family members are fisted in author.
Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance.	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search	Date of mailing of the international search report
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Name and mailing address of the ISA	Authorized officer
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3

INTERNATIONAL SEARCH REPORT

ategory °	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x	HARBOE, M. ET AL.: "B-Cell Epitopes and Quantification of the ESAT-6 Protein of Mycobacterium tuberculosis" INFECT. IMMUN., vol. 66, no. 2, February 1998 (1998-02), pages 717-723, XP002134896 figure 2 page 721, column 2, paragraph 3	17,19, 20,22
Ρ,Χ	PATHAN, A. ET AL.: "Human T Cell Responses to the Antigen ESAT-6 Characterize a Vacine Candidate and Potential Diagnostic Test for Tuberculosis." IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998 (1998-12), page 90 XP002134897 abstract	1-22
Ρ,Χ	PATHAN, A. ET AL.: "Identification of Conserved, CD8+ Cytotoxic T Cell Epitopes in ESAT-6, a Tuberculosis Vaccine Candidate." IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998 (1998-12), page 108 XP002134898 abstract	1-22
Ρ,Χ	ULRICHS, T. ET AL.: "Differential T cell responses to Mycobacterium tuberculosis ESAT6 in tuberculosis patients and healthy donors." EUR. J. IMMUNOL., vol. 28, no. 12, December 1998 (1998-12), pages 3949-3958, XP000891644 page 3952, paragraph 2 page 3955, column 2, paragraph 1	1-22
A	ELHAY, M.J. ET AL.: "Delayed-Type Hypersensitivity Responses to ESAT-6 and MPT64 from Mycobacterium tuberculosis in the Guinea Pig." INFECT. IMMUN., vol. 66, no. 7, July 1998 (1998-07), pages 3454-3456, XP002134900 abstract page 3454, column 2, paragraph 2 -page 3455, column 1, paragraph 1	1-22
A	WO 95 01441 A (STATENS SERUMSINSTITUT; ANDERSEN PETER (DK); ANDERSEN AASE BENGAAR) 12 January 1995 (1995-01-12) page 57, line 4 - line 19	1-22

3

mational application No.

INTERNATIONAL SEARCH REPORT

PCT/GB 99/03635

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Although as far as prophylactic methods are concerned, claim 22 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is tacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. X As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: (3, 14) - complete, (1, 2, 4, 7-13, 15-22) - partially

A peptide with SEQ ID NO:1 or an analog thereof, a polynucleotide encoding it and uses thereof in diagnostics, in pharmaceutical compositions and to produce antibodies.

- 2. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:2.
- 3. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:3.
- 4. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:4.
- 5. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:5.
- 6. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:6.
- 7. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:7.
- 8. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:8.
- 9. Claims: (1, 2, 6-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:9.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

11. Claims: (1, 2, 6-13, 15-22) - partially

Idem as in subject 1, but referred to SEQ ID NO:11.

page 2 of 2

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷:
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31/70

A2

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(71) Applicant (for all designated States except US): ISIS INNO-VATION LIMITED [GB/GB]; 2 South Parks Road, Oxford OX1 3UB (GB).

(72) Inventors: and

(75) Inventors/Applicants (for US only): LALVANI, Ajit [GB/GB]; Nuffield Department of Clinical Medicine, John Radcliffe Hospital, Headington, Oxford OX3 9DU (GB). PATHAN, Ansar, Ahmed [PK/GB]; Nuffield Department of Clinical Medicine, John Radcliffe Hospital, Headington, Oxford OX3 9DU (GB).

(74) Agents: IRVINE, Jonquil, Claire et al.; J. A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5LX (GB). (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

Without international search report and to be republished upon receipt of that report.

(54) Title: TUBERCULOSIS DIAGNOSTIC TEST

(57) Abstract

A method of diagnosing in a host infection by or exposure to a mycobacterium which expresses ESAT-6 comprising (i) contacting a population of T cells from the host with one or more peptides or analogues selected from the peptides represented by SEQ ID NO:1 to 11 and analogues thereof which can bind a T cell receptor which recognises any of the said peptides, and (ii) determining whether the T cells of said T cell population recognise the peptide(s) and/or analogue(s). The method may be performed *in vivo*. Peptides and a kit which enable the method to be carried out are provided.

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From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

IRVINE, Jonquil C.
J.A. KEMP & CO.
14 South Square
Gray's Inn
London WC1R 5LX
GRANDE BRETAGNE

J. A. KEMP & Co

REC'D - 8 FEB 2001

Action by

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year)

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Applicant's or agent's file reference

international application No.

PCT/GB99/03635

N75394B JCI

International filing date (day/month/year) 03/11/1999

Priority date (day/month/year) 04/11/1998

IMPORTANT NOTIFICATION

Applicant

ISIS INNOVATION LIMITED et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

- European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4485

Authorized officer

Hingel, W

Tel.+49 39 2399-8717



PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference					
N75394B JCI	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)			
International application No.	International filing date (day/month	Ayear) Priority date (day/month/year)			
PCT/GB99/03635	03/11/1999	04/11/1998			
International Patent Classification (IPC) or nat C07K14/35	lonal classification and IPC				
Applicant ISIS INNOVATION LIMITED et al.					
This international preliminary examinated and is transmitted to the applicant action.	nation report has been prepared cording to Article 36.	by this International Preliminary Examining Authority			
2. This REPORT consists of a total of	5 sheets, including this cover sh	eet.			
This report is also accompanied been amended and are the basi (see Rule 70.16 and Section 60)	s for this report and/or sheets co	e description, claims and/or drawings which have ontaining rectifications made before this Authority ons under the PCT).			
These annexes consist of a total of 4	sheets.				
3. This report contains indications relati	ng to the following items:				
l ⊠ Basis of the report					
II ☐ Priority III ☐ Non-establishment of on					
- i on setablishment of op	inion with regard to novelty, inve	entive step and Industrial applicability			
addition drinty of invention					
House statement and	is suporting such statement	ovelty, inventive step or industrial applicability;			
VI Certain documents cited					
VII					
VIII Certain observations on-	the international application				
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Date of submission of the demand	Date of co	mpletion of this report			
05/06/2000	0	5. 02 01'			
Name and mailing address of the international preliminary examining authority: European Patent Office	Authorized	d officer			
D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 e	giebelei	r, K			
Fex: +49 89 2399 - 4465	Telephone	No. +49 89 2399 8546			



INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/GB99/03635

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or Industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N) Yes: Claims 1-26 No: Claims Inventive step (IS) Yes: Claims 1-26 No: Claims Claims 1-26

Industrial applicability (IA) Yes: No: Claims

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03635

-	. в	asis of the report				
•	tr		rawn on the basis of (substitut on under Article 14 are referred to not contain amendments (Ru			ed to the receiving Office in d" and are not annexed to
	1-	-29	as originally filed			
	C	laims, No.:				
	1-	26	as received on	22/01/2001	with letter of	19/01/2001
2	. W lar	ith regard to the lang nguage in which the i	uage, all the elements marked nternational application was file	above were a ed, unless othe	vailable or furnishe arwise indicated un	d to this Authority in the der this item.
	Th	ese elements were a	vailable or furnished to this Au	thority in the fo	ollowing language:	, which is:
		the language of pu	ranslation furnished for the pur blication of the international ap	plication (unde	er Rule 48.3(b)).	¥1
		the language of a t 55.2 and/or 55.3).	ranslation furnished for the pur	poses of interr	national preliminary	examination (under Rule
3.	. Wi inte	th regard to any nucl emational preliminary	eotide and/or amino acid sec examination was carried out o	quence disclos on the basis of	sed in the internation the sequence listing	nal application, the g:
,		contained in the int	ernational application in writter	i form.		•
		filed together with the	ne international application in c	omputer reada	able form.	
		furnished subseque	ently to this Authority in written	form.		
			intly to this Authority in comput		m.	
		The statement that	the subsequently furnished wri plication as filed has been furn	itten seguence	listing does not go	beyond the disclosure in
			the information recorded in cor		le form is identical t	o the written sequence
4.	The	amendments have r	esulted in the cancellation of:		• .	
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			
5.		This report has beer considered to go be	n established as if (some of) the	e amendments ule 70.2(c));	s had not been mad	le, since they have been

INTERNATIONAL PRELIMINARY International application No. PCT/GB99/03635 EXAMINATION REPORT - SEPARATE SHEET

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- The following documents are cited:
 - D1: WO 98 23960 A
 - D2: BRANDT, L. ET AL.: J. IMMUNOL., vol. 1996, no. 157, 1996, pages 3527-3533
 - D3: HARBOE, M. ET AL.: INFECT. IMMUN., vol. 66, no. 2, February 1998, pages 717-723
 - D4: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 90
 - D5: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 108
 - D6: ULRICHS, T. ET AL.: EUR. J. IMMUNOL., vol. 28, no. 12, December 1998, pages 3949-3958
 - D7: ELHAY, M.J. ET AL.: INFECT. IMMUN., vol. 66, no. 7, July 1998, pages 3454-3456
- The current assessment is based on the assumption that all claims enjoy priority rights from the filing date of the priority document. If it later turns out that this is not correct, the documents D4, D5 and D6 cited in the international search report could become relevant.
- 3. For the purpose of the present report, the unclear claim 6 has been interpreted as referring to an analogue which can bind a T cell receptor which recognizes the equivalent (or corresponding) substituted peptide, see page 9, lines 3-5 of the description and present claims 7-9.
- 4. The present application is based on the surprising finding that the peptide "ES1" represented by SEQ ID NO:1 and corresponding to amino acids 1-15 of the ESAT-6 protein of Mycobacterium tuberculosis is suitable to detect nearly 60% of human TB patients. This finding could not be expected from any of the relevant prior art documents D1, D2, D3 and D7.

INTERNATIONAL PRELIMINARY

International application No. PCT/GB99/03635

EXAMINATION REPORT - SEPARATE SHEET

Example 3 of D1 identified T-cells im M. tuberculosis patients reactive with the peptides ES12 (amino acids 69-76) and ES13 (amino acids 82-90), but not with the peptide ES8 (amino acids 10-18).

D2 discloses that the peptide ES1 contains a T-cell epitope recognized by T-cells taken from M. tuberculosis-infected mice. When faced with the problem of providing peptides suitable for detection of infection in humans, a person skilled in the art would not have extrapolated the data of D2 obtained from mice to the diagnosis of humans, because it is known that mice have different MHC molecules than humans and are thus expected to recognize different epitopes. This is also apparent from the finding that the peptide ES2 was not identified as an epitope-containing fragment of the ESAT-6 protein by the mouse studies of D2, while the present application found this peptide to detect 40% of TB patients. Furthermore, there are no indications or suggestions in D2 to use the disclosed peptides in diagnosis.

Therefore, novelty and inventive step of the claimed subject-matter is acknowledged.

Re Item VIII

Certain observations on the international application

Claims 6-9 and 24 relating to analogues are formulated as dependent claims, 5. although these claims are broader in scope than the claims on which they (formally) depend. These claims are therefore unclear and confusing, contrary to Article 6 PCT.

Claim 19 is somewhat unclear since it is drafted in the second/further medical use format although it does not actually refer to a medical or diagnostic application.

CLAIMS

-30-

A method of diagnosing infection in a host, or exposure of a host, to a mycobacterium which expresses ESAT-6 comprising

 (i) contacting a population of T cells from the host with one or more peptides or analogues selected from the peptides represented by SEQ ID NO:1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or 11, and analogues thereof which can bind a T cell receptor which recognises any of the said peptides, but not (a) SEQ ID NO:3 or 5 or an analogue thereof alone, nor (b) a combination of peptides and/or analogues selected from SEQ ID NO:3 and 5 and analogues thereof; and

(ii) determining in vitro whether the T cells of said T cell population

recognise the peptide(s) and/or analogue(s).

- 2. Use of one or more peptides or analogues selected from the peptides represented by SEQ ID NO:1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or 11, and analogues thereof which can bind a T cell receptor which recognises any of the said peptides, but not (a) SEQ ID NO:3 or 5 or an analogue thereof alone, nor (b) a combination of peptides and/or analogues selected from SEQ ID NO:3 and 5 and analogues thereof; for the preparation of a diagnostic means for use in diagnosing in a host infection by or exposure to a mycobacterium which expresses ESAT-6, said method comprising determining whether T cells of the host recognise the peptide(s) and/or analogue(s).
- 3. A method or use according to claim 1 or claim 2 wherein at least the peptide represented by SEQ ID NO:1 or an analogue thereof is used.
- 4. A method or use according to claim 1 or claim 2 wherein at least the peptides represented by SEQ ID NO:1, 2, 3, 4, 5 and 6, or instead of any of these peptides their analogues, are contacted with the T cells.
- 5. A method or use according to any one of the preceding claims wherein at least a peptide represented by SEQ ID NO: 7 and/or 8, or an analogue thereof

is used.

- 6. A method or use according to any one of the preceding claims wherein at least a peptide represented by SEQ ID NO: 9 and/or 10 and/or 11, or an analogue thereof is used.
- 7. A method or use according to any one of the preceding claims in which the recognition of the peptide by the T cells is determined by detecting the secretion of a cytokine from the T cells.
- 8. A method or use according to claim 7 in which the cytokine is IFN-γ.
- 9. A method or use according to claim 7 or claim 8 in which the cytokine is detected by allowing the cytokine to bind to an immobilised antibody specific to the cytokine and then detecting the presence of the antibody/cytokine complex.
- 10. A method or use according to any one of the preceding claims in which the T cells are freshly isolated *ex vivo* cells.
- 11. A method or use according to any one of claims 1 to 9 in which the T cells are pre-cultured *in vitro* with peptide.
- 12. A method or use according to any one of the preceding claims in which the mycobacterium is *M.tuberculosis* or *M. bovis*.
- 13. A kit for carrying out a method or use according to any one of the preceding claims comprising one or more peptides or analogues as defined in claim 1 and optionally a means to detect the recognition of the peptide by the T cell.
- 14. A kit according to claim 13 which has at least the peptide represented by SEQ

ID NO:1 or an analogue thereof.

- 15. A kit according to claim 13 or claim 14 wherein the means to detect recognition comprises an antibody to IFN-γ.
- 16. A kit according to claim 15 wherein the antibody is immobilised on a solid support and optionally also a means to detect the antibody/IFN-γ complex.
- 17. A peptide with the sequence of SEQ ID NO:1, 2, 4, 6, 7, 8, 9, 10 or 11 or an analogue thereof.
- 18. A diagnostic product or panel comprising one or more peptides or analogues selected from the peptides represented by SEQ ID NO:1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or 11 and analogues thereof which can bind a T cell receptor which recognises any of the said peptides, but not (a) SEQ ID NO:3 or 5 or an analogue thereof alone, nor (b) a combination of peptides selected from SEQ ID NO:3 and 5 and analogues thereof.
- 19. A polynucleotide which is capable of expressing one or more of the peptides or analogues as defined in claim 1, 3, 4, 5, 6 or 17 for use in *in vivo* diagnosis in a host infection by or exposure to a mycobacterium which expresses ESAT-6.
- 20. A polynucleotide capable of expression to provide a peptide or analogue as defined in claim 17.
- 21. A pharmaceutical composition comprising a peptide, product or panel, or polynucleotide as defined in any one of claims 17 to 19; and a pharmaceutically acceptable carrier or diluent.
- 22. Use of a peptide or analogue as defined in claim 17 to produce an antibody specific to the peptide.

CLAIMS:

- 1. A method of determining infection in a human by, or exposure of a human to, a mycobacterium which expresses ESAT-6 comprising:
- (i) contacting a population of T cells from said human with the peptide represented by SEQ ID NO:1 and, optionally, one or more further peptides represented by SEQ. ID. NOs. 2 to 11 and
- (ii) determining in vitro whether the T cells of said T cell population recognise said peptide(s).
- 2. Use of the peptide represented by SEQ ID NO:1 and, optionally, one or more further peptides represented by SEQ. ID. NOs: 2 to 11, for the preparation of a means for use in determining in a human infection by, or exposure to, a mycobacterium which expresses ESAT-6, said method comprising determining whether T cells of said human recognise said peptide(s).
- 3. A method or use according to claim 1 or claim 2 wherein a peptide panel is employed consisting of, in addition to the peptide represented by SEQ. ID NO:1, one or more peptides selected from the peptides represented by SEQ. ID. NOs. 2 to 11.
- 4. A method or use according to claim 3 wherein at least the peptides represented by SEQ. ID. NOs. 1 to 8 are employed.
- 5. A method or use according to claim 4 wherein one or more further peptides are employed selected from the peptides represented by SEQ. ID. NOs. 9, 10 and 11.
- 6. A method or use according to any one of claims 1 to 5 wherein any of said peptides is substituted by an analogue which can bind a T cell receptor which recognises the peptide.
 - 7. A method or use as claimed in any one of claims 1 to 5 wherein any of said peptides is

substituted by a peptide analogue which is at least 70% homologous, preferably at least 80% homologous, more preferably at least 90% homologous, to the entire corresponding substituted peptide and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.

- 8. A method or use as claimed in claims 1 to 5 wherein any of said peptides is substituted by a peptide analogue which has one or more deletions at the N-terminus and/or C-terminus and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.
- 9. A method or use as claimed in any one of claims 1 to 5 and 8 wherein any of said peptides is substituted by a peptide analogue which has one or more conservative substitutions compared to the corresponding substituted peptide and which retains the ability to be recognised by T cells of a T cell population which recognise the corresponding substituted peptide.
- 10. A method or use according to any one of the preceding claims in which the recognition of the peptide(s) by the T cells is determined by determining secretion of a cytokine from the T cells.
- 11. A method or use according to claim 10 in which IFN-y secretion from the T cells is determined.
- 12. A method or use according to claim 11 in which IFN-γ secretion from the T cells is determined by allowing secreted IFN-γ to bind to an immobilised antibody specific to the cytokine and then determining the presence of antibody/cytokine complex.
- 13. A method or use according to any one of the preceding claims in which the T cells are freshly isolated ex vivo cells from peripheral blood.

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- 14. A method or use according to any one of claims 1 to 12 in which the T cells are precultured in vitro with the peptide(s).
- 15. A method or use according to any one of the preceding claims in which the mycobacterium is M. tuberculosis or M. bovis.
- 16. A kit for carrying out a method or use according to any one of the preceding claims comprising a peptide panel as defined in any one of claims 3 to 5, or any one of claims 6 to 9 as dependent on claims 3 to 5, and optionally a means to detect the recognition of a peptide by the T cells.
 - 17. A kit according to claim 16 which includes an antibody to IFN-γ.
- 18. A kit according to claim 17 wherein said antibody is immobilised on a solid support and which optionally also includes a means to detect any antibody/IFN-γ complex.
- 19. Use of one or more polynucleotides capable of expressing in human cells peptide or peptides in accordance with any one of claims 1 to 9 for the preparation of a means for use in determining in a human infection by, or exposure to, a mycobacterium which expresses ESAT-6, said method comprising determining whether T cells of said human recognise said peptide(s).
- 20. A kit for carrying out a use according to claim 19 comprising one or more polynucleotides capable of expressing in human cells a peptide panel as defined in any one of claims 3 to 5, or claims 6 to 9 as dependent on claims 3 to 5.
- 21. A pharmaceutical composition comprising a peptide panel as defined in any one of claims 3 to 5, or claims 6 to 9 as dependent on claims 3 to 5, or one or more polynucleotides capable of expressing the peptides of said panel in human cells together with a pharmaceutically acceptable carrier or diluent.
 - 22. A method of diagnosing infection in a human by, or exposure of a human to, a

mycobacterium which expresses ESAT-6 comprising:

- (i) contacting a population of T cells from said human with a panel of peptides represented by SEQ. ID. Nos. 1 to 8, wherein said T cells are freshly isolated ex vivo cells from peripheral blood, and
- (ii) determining in vitro whether T cells of said T cell population show a recognition response to said peptides by determining IFN-γ secretion from the T cells.
- 23. A method as claimed in claim 22 wherein said panel is expanded to additionally include one or more further peptides selected from the peptides of SEQ. ID. NOs. 9 to 11.
- 24. A method as claimed in claim 22 or claim 23 wherein one or more of said peptides is substituted by an analogue as defined in any one of claims 6 to 9.
- 25. A method or use as claimed in any one of claims 3 to 9 and 22 to 24 wherein said peptides are pooled.
- 26. A method as claimed in any one of claims 1 to 9 and 22 to 25 wherein presence of a mycobacterium which expresses ESAT-6 is determined in a suspected healthy contact who has been exposed to said mycobacterium.

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

					·
Applicant's	or ag	ent's file reference	FOR FURTHER ACTIO	•	cation of Transmittal of International
N75394E	3 JCI		FOR FURTHER ACTION	Preliminar	y Examination Report (Form PCT/IPEA/416)
Internation	International application No. International filing date (day/month/year) Priority date (day/month/year)				Priority date (day/month/year)
PCT/GB	99/03	3635	03/11/1999		04/11/1998
		ent Classification (IPC) or na	tional classification and IPC		
C07K14/	35				
Applicant					
ISIS INN	OVA	TION LIMITED et al.			
1. This i	ntern	ational preliminary exami	nation report has been prepa	red by this Int	ernational Preliminary Examining Authority
		smitted to the applicant a			omatoria. From mary Examining fluidency
2. This I	REPC	ORT consists of a total of	5 sheets, including this cove	r sheet.	
5					
					on, claims and/or drawings which have ectifications made before this Authority
			7 of the Administrative Instru	-	
Those		exes consist of a total of	A shoots		
mes	e ann	exes consist of a total of	4 Sileets.		
3. This r	eport	contains indications rela	ting to the following items:		
	\boxtimes	Pagis of the report			
'		Basis of the report Priority			
111		•	pinion with regard to novelty,	inventive step	and industrial applicability
IV		Lack of unity of invention	-		
V	\boxtimes		nder Article 35(2) with regard	to novelty, inv	entive step or industrial applicability;
VI		Certain documents cite			
VII		Certain defects in the in	ternational application		
VIII	\boxtimes	Certain observations or	the international application		
Date of sub	missio	on of the demand	Date	of completion o	f this report
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Name and	mailine	address of the international	Auth	orized officer	
_	exam.	ining authority:		 .	Elder Court of Marine and
llis		ppean Patent Office 298 Munich	Gial	oeler, K	
	Tel.	+49 89 2399 - 0 Tx: 523656	epmu d	70101, IX	
Fax: +49 89 2399 - 4465			Tele	hone No. +49 8	9 2399 8546

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03635

I. Basis of the r port

1.	This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office is response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).): Description, pages:									
	1-2	9	as originally filed							
	Cla	ims, No.:								
	1-2	6	as received on	22/01/2001	with letter of	19/01/2001				
2.			juage , all the elements m international application v			ed to this Authority in the nder this item.				
	The	These elements were available or furnished to this Authority in the following language: , which is:								
		the language of a	translation furnished for t	he purposes of the i	nternational searc	h (under Rule 23.1(b)).				
		the language of pu	ublication of the internatio	nal application (und	er Rule 48.3(b)).					
		the language of a 55.2 and/or 55.3).	translation furnished for t	he purposes of inter	national prelimina	ry examination (under Rule				
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:									
		contained in the in	ternational application in	written form.						
		filed together with	the international application in computer readable form.							
		furnished subsequ	uently to this Authority in written form.							
		furnished subsequently to this Authority in computer readable form.								
		☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.								
4.	The	The amendments have resulted in the cancellation of:								
		the description,	pages:							
		the claims,	Nos.:							
		the drawings,	sheets:							
5.			en established as if (som		nts had not been n	nade, since they have been				

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03635

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N) Yes: Claims 1-26

No: Claims

Inventive step (IS) Yes: Claims 1-26

No: Claims

Industrial applicability (IA) Yes: Claims 1-26

No: Claims

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

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ſ;

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. The following documents are cited:
 - D1: WO 98 23960 A
 - D2: BRANDT, L. ET AL.: J. IMMUNOL., vol. 1996, no. 157, 1996, pages 3527-3533
 - D3: HARBOE, M. ET AL.: INFECT. IMMUN., vol. 66, no. 2, February 1998, pages 717-723
 - D4: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 90
 - D5: PATHAN, A. ET AL.: IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998, page 108
 - D6: ULRICHS, T. ET AL.: EUR. J. IMMUNOL., vol. 28, no. 12, December 1998, pages 3949-3958
 - D7: ELHAY, M.J. ET AL.: INFECT. IMMUN., vol. 66, no. 7, July 1998, pages 3454-3456
- 2. The current assessment is based on the assumption that all claims enjoy priority rights from the filing date of the priority document. If it later turns out that this is not correct, the documents D4, D5 and D6 cited in the international search report could become relevant.
- 3. For the purpose of the present report, the unclear claim 6 has been interpreted as referring to an analogue which can bind a T cell receptor which recognizes the **equivalent** (or corresponding) substituted peptide, see page 9, lines 3-5 of the description and present claims 7-9.
- 4. The present application is based on the surprising finding that the peptide "ES1" represented by SEQ ID NO:1 and corresponding to amino acids 1-15 of the ESAT-6 protein of Mycobacterium tuberculosis is suitable to detect nearly 60% of human TB patients. This finding could not be expected from any of the relevant prior art documents D1, D2, D3 and D7.

EXAMINATION REPORT - SEPARATE SHEET

Example 3 of D1 identified T-cells im M. tuberculosis patients reactive with the peptides ES12 (amino acids 69-76) and ES13 (amino acids 82-90), but not with the peptide ES8 (amino acids 10-18).

D2 discloses that the peptide ES1 contains a T-cell epitope recognized by T-cells taken from M. tuberculosis-infected mice. When faced with the problem of providing peptides suitable for detection of infection in humans, a person skilled in the art would not have extrapolated the data of D2 obtained from mice to the diagnosis of humans, because it is known that mice have different MHC molecules than humans and are thus expected to recognize different epitopes. This is also apparent from the finding that the peptide ES2 was not identified as an epitope-containing fragment of the ESAT-6 protein by the mouse studies of D2, while the present application found this peptide to detect 40% of TB patients. Furthermore, there are no indications or suggestions in D2 to use the disclosed peptides in diagnosis.

Therefore, novelty and inventive step of the claimed subject-matter is acknowledged.

Re Item VIII

Certain observations on the international application

Claims 6-9 and 24 relating to analogues are formulated as dependent claims, 5. although these claims are broader in scope than the claims on which they (formally) depend. These claims are therefore unclear and confusing, contrary to Article 6 PCT.

Claim 19 is somewhat unclear since it is drafted in the second/further medical use format although it does not actually refer to a medical or diagnostic application.

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

J.A. KEMP & CO. Attn. IRVINE, JONQUIL CLAIRE. 14 South Square Gray's Inn London WC1R 5LX

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

UNITED KINGDOM		
	Date of mailing (day/month/year) 19/04/2000	
Applicant's or agent's file reference N75394B JCI	FOR FURTHER ACTION See paragraphs 1 and 4 below	_
International application No. PCT/GB 99/ 03635	International filing date (day/month/year) 03/11/1999	
Applicant .		
ISIS INNOVATION LIMITED et al.		_
	O I D I A L.	-

1.	X	The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.			
		Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):			
		When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.			
		Where?	Directly to the	International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35	
		For mor	e detailed instru	uctions, see the notes on the accompanying sheet.	
2.				otified that no International Search Report will be established and that the declaration under ect is transmitted herewith.	
з. [With reg	gard to the prote	est against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:	
		the app	protest together plicant's request	with the decision thereon has been transmitted to the International Bureau together with the to forward the texts of both the protest and the decision thereon to the designated Offices.	
		no no	decision has bee	en made yet on the protest; the applicant will be notified as soon as a decision is made.	
4. F	Further action(s): The applicant is reminded of the following:				
	Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.				
Within 19 months from the priority date, a demand for international preliminary examination must be filed wishes to postpone the entry into the national phase until 30 months from the priority date (in some Office				ority date, a demand for international preliminary examination must be filed if the applicant into the national phase until 30 months from the priority date (in some Offices even later).	

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Andria Overbeeke-Siepkes

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 45.2).

Where a demand for international preliminary examination has been is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 *Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
 claims 30, 33 and 36 unchanged; new claims 49 to 51 added.*
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
 "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER See N (Form	Notification of Trans PCT/ISA/220) as	smittal of Inter well as, when	national Search Report e applicable, item 5 below.
International application No.	International filing date (day/mon	th/year) (Ear	rliest) Priority	Date (day/month/year)
PCT/GB 99/03635	03/11/1999		04	/11/1998
ISIS INNOVATION LIMITED et	: al.			
This International Search Report has been according to Article 18. A copy is being tra	prepared by this International Seansmitted to the International Burea	arching Authority ar au.	nd is transmit	ted to the applicant
	of a total of <u>6</u> st a copy of each prior art document	neets. cited in this report.		
Basis of the report With regard to the language, the is language in which it was filed, unle	ntemational search was carried ou ses otherwise indicated under this i	t on the basis of th	e internationa	application in the
the international search wa Authority (Rule 23.1(b)).	as carried out on the basis of a tran	nslation of the inten	national appli	cation furnished to this
b. With regard to any nucleotide and was carried out on the basis of the contained in the internation filed together with the Internation furnished subsequently to the statement that the subsinternational application as	sequence listing: nal application in written form. national application in computer re this Authority in written form. this Authority in computer readble sequently furnished written sequen	eadable form. form. ace listing does not	go beyond th	e disclosure in the
2. X Certain claims were foun 3. X Unity of invention is lack.	d unsearchable (See Box I). Ing (see Box II).			
4. With regard to the title, The text is approved as sub the text has been establish	mitted by the applicant. ed by this Authority to read as follo	ows:		
5. With regard to the abstract, The text is approved as substract that the text has been established within one month from the control of th	mitted by the applicant. ed, according to Rule 38.2(b), by the state of mailing of this international	nis Authority as it a search report, sub	ppears in Boomit commens	(III. The applicant may, to this Authority.
6. The figure of the drawings to be publis as suggested by the applicate because the applicant failed because this figure better compared to the drawings to be published.	ant. d to suggest a figure.		<u> </u>	None of the figures.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/03635

Box I	Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This Inte	emational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.; because they relate to subject matter not required to be searched by this Authority, namely: Although as far as prophylactic methods are concerned, claim 22 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This Inte	mational Searching Authority found multiple inventions in this international application, as follows:
see	e additional sheet
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. X	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
з	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM	PCT/ISA/ 210
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This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: (3, 14) - complete, (1, 2, 4, 7-13, 15-22) - partially

A peptide with SEQ ID NO:1 or an analog thereof, a polynucleotide encoding it and uses thereof in diagnostics, in pharmaceutical compositions and to produce antibodies.

- 2. Claims: (1, 2, 4, 7-13, 15-22) partially Idem as in subject 1, but referred to SEQ ID NO:2.
- 3. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:3.
- 4. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:4.
- 5. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:5.
- 6. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:6.
- 7. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:7.
- 8. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:8.
- 9. Claims: (1, 2, 6-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:9.
- 10. Claims: (1, 2, 6-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:10.

11. Claims: (1, 2, 6-13, 15-22) - partially

Idem as in subject 1, but referred to SEQ ID NO:11.

INTERNATIONAL SEARCH REPORT

mation on patent family members

PCT/GB 99/03635

Patent document cited in search report		Publication date		atent family member(s)	Publication date
WO 9823960	Α	04-06-1998	AU EP	5063298 A 0941478 A	22-06-1998 15-09-1999
WO 9501441	Α	12-01-1995	AU AU CA EP NZ US	682879 B 7068894 A 2165949 A 0706571 A 267984 A 5955077 A	23-10-1997 24-01-1995 12-01-1995 17-04-1996 22-09-1997 21-09-1999



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
N75394B JCI	ACTION (FOIIII FC171SA/2	20) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 99/03635	03/11/1999	04/11/1998
Applicant		
ISIS INNOVATION LIMITED e	t al.	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth	nority and is transmitted to the applicant
,		
This International Search Report consists It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this	report.
Basis of the report		
 a. With regard to the language, the language in which it was filed, unl 	international search was carried out on the bas ess otherwise indicated under this item.	is of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of th	ne international application furnished to this
b. With regard to any nucleotide an was carried out on the basis of the		ternational application, the international search
_	nal application in written form.	
<u> </u> '	rnational application in computer readable form	ı.
	this Authority in written form.	
	this Authority in computer readble form.	
international application a	sequently furnished written sequence listing do s filed has been furnished.	bes not go beyond the disclosure in the
the statement that the info furnished	rmation recorded in computer readable form is	identical to the written sequence listing has been
2. X Certain claims were fou	nd unsearchable (See Box I).	
3. Unity of Invention is lack	dng (see Box II).	
4. With regard to the title ,		·
X the text is approved as su	bmitted by the applicant.	
	hed by this Authority-to read as follows:	
	•	
		·
5. With regard to the abstract,		
	bmitted by the applicant. hed, according to Rule 38.2(b), by this Authorit date of mailing of this international search rep	
6. The figure of the drawings to be publi	,	<u> </u>
as suggested by the applic		X None of the figures.
because the applicant faile	ed to suggest a figure.	
because this figure better	characterizes the invention.	



nternational application No.

PCT/GB 99/03635

B x I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)	
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Although as far as prophylactic methods are concerned, claim 22 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.	
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:	
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows:	
see additional sheet	
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.	
2. X As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:	
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.	

FURTHER INFORMATION CONTINUED FROM	PCT/ISA/	210		
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This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: (3, 14) - complete, (1, 2, 4, 7-13, 15-22) - partially

A peptide with SEQ ID NO:1 or an analog thereof, a polynucleotide encoding it and uses thereof in diagnostics, in pharmaceutical compositions and to produce antibodies.

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 Idem as in subject 1, but referred to SEQ ID NO:2.
- 3. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:3.
- 4. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:4.
- 5. Claims: (1, 2, 4, 7-13, 15, 16, 18, 19, 21) partially Idem as in subject 1, but referred to SEQ ID NO:5.
- 6. Claims: (1, 2, 4, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:6.
- 7. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:7.
- 8. Claims: (1, 2, 5, 7-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:8.
- 9. Claims: (1, 2, 6-13, 15-22) partially

 Idem as in subject 1, but referred to SEQ ID NO:9.
- 10. Claims: (1, 2, 6-13, 15-22) partially
 Idem as in subject 1, but referred to SEQ ID NO:10.

11. Claims: (1, 2, 6-13, 15-22) - partially

Idem as in subject 1, but referred to SEQ ID NO:11.

page 2 of 2

INTENATIONAL SEARCH REPORT

national Application No PCT/GB 99/03635

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C07K14/35 C07K7/08

G01N33/569

G01N33/53

C07K16/12 A61K38/10 C12Q1/68 A61K31/70 G01N33/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
х	WO 98 23960 A (ISIS INNOVATION ;LALVANI AJIT (GB); BROOKES ROGER HAMILTON (GB)) 4 June 1998 (1998-06-04) cited in the application page 12 -page 13	1-22
X	BRANDT, L. ET AL.: "Key Epitopes on the ESAT-6 Antigen Recognized in Mice During the Recall of Protective Immunity to Mycobacterium tuberculosis." J. IMMUNOL., vol. 1996, no. 157, 1996, pages 3527-3533, XP002134895 page 3528, column 2, paragraph 3 table 1	1-22

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
6 April 2000	19/04/2000
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340–2040, Tx. 31 651 epo nl, Fax: (+31-70) 340–3016	Authorized officer Mata Vicente, T.

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C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HARBOE, M. ET AL.: "B-Cell Epitopes and Quantification of the ESAT-6 Protein of Mycobacterium tuberculosis" INFECT. IMMUN., vol. 66, no. 2, February 1998 (1998-02), pages 717-723, XP002134896 figure 2 page 721, column 2, paragraph 3	17,19, 20,22
Ρ,Χ	PATHAN, A. ET AL.: "Human T Cell Responses to the Antigen ESAT-6 Characterize a Vacine Candidate and Potential Diagnostic Test for Tuberculosis." IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998 (1998-12), page 90 XP002134897 abstract	1-22
Ρ,Χ	PATHAN, A. ET AL.: "Identification of Conserved, CD8+ Cytotoxic T Cell Epitopes in ESAT-6, a Tuberculosis Vaccine Candidate." IMMUNOLOGY, vol. 95, no. SUPPL. 1, December 1998 (1998-12), page 108 XP002134898 abstract	1-22
Ρ,Χ	ULRICHS, T. ET AL.: "Differential T cell responses to Mycobacterium tuberculosis ESAT6 in tuberculosis patients and healthy donors." EUR. J. IMMUNOL., vol. 28, no. 12, December 1998 (1998–12), pages 3949–3958, XP000891644 page 3952, paragraph 2 page 3955, column 2, paragraph 1	1-22
Α	ELHAY, M.J. ET AL.: "Delayed-Type Hypersensitivity Responses to ESAT-6 and MPT64 from Mycobacterium tuberculosis in the Guinea Pig." INFECT. IMMUN., vol. 66, no. 7, July 1998 (1998-07), pages 3454-3456, XP002134900 abstract page 3454, column 2, paragraph 2 -page 3455, column 1, paragraph 1	1-22
A	WO 95 01441 A (STATENS SERUMSINSTITUT ;ANDERSEN PETER (DK); ANDERSEN AASE BENGAAR) 12 January 1995 (1995-01-12) page 57, line 4 - line 19	1-22

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